



## Clinical trial results:

**A randomized, multi-centre, double-blind, double dummy placebo controlled single-dose cross-over study to demonstrate that 12 and 24 µg of formoterol delivered by Concept1 has a bronchodilator efficacy which is equivalent to the same dose of formoterol delivered by Aerolizer® in adult and adolescent patients with persistent asthma**

**Due to EudraCT system limitations, which EMA is aware of, data using 999 as data points in this record are not an accurate representation of the clinical trial results. Please use <https://www.novctrd.com/CtrdWeb/home.nov> for complete trial results.**

## Summary

EudraCT number	2006-003225-87
Trial protocol	DE
Global end of trial date	21 May 2007

## Results information

Result version number	v1 (current)
This version publication date	06 July 2018
First version publication date	06 July 2018

## Trial information

### Trial identification

Sponsor protocol code	CFOR258D2201
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### Additional study identifiers

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	NCT00443482
WHO universal trial number (UTN)	-

Notes:

## Sponsors

Sponsor organisation name	Novartis Pharmaceuticals AG
Sponsor organisation address	CH-4002, Basel, Switzerland,
Public contact	Novartis Pharmaceuticals AG, Novartis Pharmaceuticals AG, +41 613241111,
Scientific contact	Novartis Pharmaceuticals AG, Novartis Pharmaceuticals AG, +41 613241111,

Notes:

## Paediatric regulatory details

Is trial part of an agreed paediatric investigation plan (PIP)	No
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Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Final
Date of interim/final analysis	21 May 2007
Is this the analysis of the primary completion data?	No

Global end of trial reached?	Yes
Global end of trial date	21 May 2007
Was the trial ended prematurely?	No

Notes:

## General information about the trial

Main objective of the trial:

The main objective of the trial was to compare the efficacy of two doses of formoterol, 12 microgram (mcg) and 24 mcg, delivered by Concept1 inhaler device with corresponding doses of formoterol delivered by Aerolizer inhaler device, to demonstrate that two doses of formoterol delivered by the Concept1 device are equivalent to the same doses delivered by the Aerolizer for bronchodilator efficacy in subjects with persistent asthma.

Protection of trial subjects:

The study was in compliance with the ethical principles derived from the Declaration of Helsinki and in compliance with all International Conference on Harmonization (ICH) Good Clinical Practice (GCP) Guidelines. All the local regulatory requirements pertinent to safety of trial subjects were followed. Subjects who experienced an asthma exacerbation following randomisation were withdrawn from the study. The investigator initiated treatment for such asthma exacerbations as he/she best deemed appropriate. Subjects were provided with an identical formulation of inhaled short-acting  $\beta_2$ -agonist (salbutamol) as rescue medication. Subjects were instructed not to exceed 8 puffs of rescue medication per 24 hour period. If rescue medication was taken within 6 hours prior to visit, then visit was to be rescheduled to next possible day.

Background therapy:

Subjects received regular anti-inflammatory asthma therapy at a fixed dose regimen, for one month prior to start of pre-randomisation/screening and was continued unchanged throughout the study, unless the subject experienced an asthma exacerbation requiring use of additional anti-inflammatory therapy in opinion of investigator.

Evidence for comparator: -

Actual start date of recruitment	19 February 2007
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	Germany: 50
Worldwide total number of subjects	50
EEA total number of subjects	50

Notes:

<b>Subjects enrolled per age group</b>	
In utero	0
Preterm newborn - gestational age < 37 wk	0
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0
Adults (18-64 years)	42
From 65 to 84 years	8
85 years and over	0

## Subject disposition

### Recruitment

Recruitment details:

The study was conducted in 4 centres in Germany.

### Pre-assignment

Screening details:

A total of 53 subjects were screened, out of which 50 subjects were randomised into the study.

### Period 1

Period 1 title	Treatment Period I
Is this the baseline period?	Yes
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Data analyst, Assessor

Blinding implementation details:

A double-dummy design was used because the identity of the study drugs could not be disguised due to different inhalation devices (Concept1 and Aerolizer) that can be readily distinguished. Unblinding was allowed only in case of subjects emergencies and at the conclusion of the study.

### Arms

Are arms mutually exclusive?	Yes
<b>Arm title</b>	Formoterol fumarate 12 mcg via Concept1 device

Arm description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 12 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 24 mcg via Concept1 device
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Arm description:

Subjects inhaled formoterol 24 mcg capsule orally via Concept1 inhaler device.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 24 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 12 mcg via Aerolizer device
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Arm description:

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device.

Arm type	Active comparator
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Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details:	
Formoterol capsules 12 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Formoterol fumarate 24 mcg via Aerolizer device

Arm description:

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device.

Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details:	
Formoterol capsules 24 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Placebo

Arm description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device.

Arm type	Placebo
Investigational medicinal product name	Placebo
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details:	
Placebo capsule inhaled orally via Concept1 and Aerolizer device.	

<b>Number of subjects in period 1</b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device
Started	10	10	10
Completed	10	10	10

<b>Number of subjects in period 1</b>	Formoterol fumarate 24 mcg via Aerolizer device	Placebo
Started	10	10
Completed	10	10

## Period 2

Period 2 title	Treatment Period II
Is this the baseline period?	No
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Data analyst, Assessor

Blinding implementation details:

A double-dummy design was used because the identity of the study drugs could not be disguised due to different inhalation devices (Concept1 and Aerolizer) that can be readily distinguished. Unblinding was allowed only in case of subjects emergencies and at the conclusion of the study.

## Arms

Are arms mutually exclusive?	Yes
<b>Arm title</b>	Formoterol fumarate 12 mcg via Concept1 device

Arm description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 12 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 24 mcg via Concept1 device
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Arm description:

Subjects inhaled formoterol 24 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 24 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 12 mcg via Aerolizer device
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Arm description:

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 12 mcg inhaled orally via Aerolizer device.

<b>Arm title</b>	Formoterol fumarate 24 mcg via Aerolizer device
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Arm description:

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Formoterol capsules 24 mcg inhaled orally via Aerolizer device.

<b>Arm title</b>	Placebo
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Arm description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Placebo
Investigational medicinal product name	Placebo
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Placebo capsule inhaled orally via Concept1 and Aerolizer device.

<b>Number of subjects in period 2</b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device
Started	10	10	10
Completed	10	10	10

<b>Number of subjects in period 2</b>	Formoterol fumarate 24 mcg via Aerolizer device	Placebo
Started	10	10
Completed	10	10

### Period 3

Period 3 title	Treatment Period III
Is this the baseline period?	No
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Data analyst, Assessor

Blinding implementation details:

A double-dummy design was used because the identity of the study drugs could not be disguised due to different inhalation devices (Concept1 and Aerolizer) that can be readily distinguished. Unblinding was allowed only in case of subjects emergencies and at the conclusion of the study. The treatment period was separated from the next by a washout period of 3 days.

**Arms**

Are arms mutually exclusive?	Yes
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<b>Arm title</b>	Formoterol fumarate 12 mcg via Concept1 device
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**Arm description:**

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

**Dosage and administration details:**

Formoterol capsules 12 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 24 mcg via Concept1 device
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**Arm description:**

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

**Dosage and administration details:**

Formoterol capsules 24 mcg inhaled orally via Concept1 device.

<b>Arm title</b>	Formoterol fumarate 12 mcg via Aerolizer device
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**Arm description:**

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

**Dosage and administration details:**

Formoterol capsules 12 mcg inhaled orally via Aerolizer device.

<b>Arm title</b>	Formoterol fumarate 24 mcg via Aerolizer device
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**Arm description:**

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

**Dosage and administration details:**

Formoterol capsules 24 mcg inhaled orally via Aerolizer device.

<b>Arm title</b>	Placebo
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**Arm description:**

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.

Arm type	Placebo
Investigational medicinal product name	Placebo
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

**Dosage and administration details:**

Placebo capsule inhaled orally via Concept1 and Aerolizer device.

<b>Number of subjects in period 3</b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device
Started	10	10	10
Completed	10	10	10
Not completed	0	0	0
Lost to follow-up	-	-	-

<b>Number of subjects in period 3</b>	Formoterol fumarate 24 mcg via Aerolizer device	Placebo
Started	10	10
Completed	9	10
Not completed	1	0
Lost to follow-up	1	-

**Period 4**

Period 4 title	Treatment Period IV
Is this the baseline period?	No
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Data analyst, Assessor

**Blinding implementation details:**

A double-dummy design was used because the identity of the study drugs could not be disguised due to different inhalation devices (Concept1 and Aerolizer) that can be readily distinguished. Unblinding was allowed only in case of subjects emergencies and at the conclusion of the study. The treatment period was separated from the next by a washout period of 3 days.

**Arms**

Are arms mutually exclusive?	Yes
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<b>Arm title</b>	Formoterol fumarate 12 mcg via Concept1 device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 12 mcg inhaled orally via Concept1 device.	
<b>Arm title</b>	Formoterol fumarate 24 mcg via Concept1 device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 24 mcg inhaled orally via Concept1 device.	
<b>Arm title</b>	Formoterol fumarate 12 mcg via Aerolizer device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 12 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Formoterol fumarate 24 mcg via Aerolizer device
Arm description: Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 24 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Placebo
Arm description: Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.	

Arm type	Placebo
Investigational medicinal product name	Placebo
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Placebo capsule inhaled orally via Concept1 and Aerolizer device.

<b>Number of subjects in period 4<sup>[1]</sup></b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device
Started	10	10	10
Completed	9	10	10
Not completed	1	0	0
Lost to follow-up	1	-	-
Joined	0	0	0
Transferred in from other group/arm	-	-	-

<b>Number of subjects in period 4<sup>[1]</sup></b>	Formoterol fumarate 24 mcg via Aerolizer device	Placebo
Started	9	10
Completed	10	10
Not completed	0	0
Lost to follow-up	-	-
Joined	1	0
Transferred in from other group/arm	1	-

Notes:

[1] - The number of subjects transferring in and out of the arms in the period are not the same. It is expected the net number of transfers in and out of the arms in a period, will be zero.

Justification: Due to the cross-over design of the study, subjects were transferred from one arm of the period to another arm of the next period in a predefined treatment sequence after each period.

## Period 5

Period 5 title	Treatment Period V
Is this the baseline period?	No
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Data analyst, Assessor

Blinding implementation details:

A double-dummy design was used because the identity of the study drugs could not be disguised due to different inhalation devices (Concept1 and Aerolizer) that can be readily distinguished. Unblinding was allowed only in case of subjects emergencies and at the conclusion of the study. The treatment period was separated from the next by a washout period of 3 days.

## Arms

Are arms mutually exclusive?	Yes
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<b>Arm title</b>	Formoterol fumarate 12 mcg via Concept1 device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 12 mcg inhaled orally via Concept1 device.	
<b>Arm title</b>	Formoterol fumarate 24 mcg via Concept1 device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Experimental
Investigational medicinal product name	Formoterol fumarate (Concept1)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 24 mcg inhaled orally via Concept1 device.	
<b>Arm title</b>	Formoterol fumarate 12 mcg via Aerolizer device
Arm description: Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 12 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Formoterol fumarate 24 mcg via Aerolizer device
Arm description: Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Arm type	Active comparator
Investigational medicinal product name	Formoterol fumarate (Aerolizer)
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use
Dosage and administration details: Formoterol capsules 24 mcg inhaled orally via Aerolizer device.	
<b>Arm title</b>	Placebo
Arm description: Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.	

Arm type	Placebo
Investigational medicinal product name	Placebo
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Capsule
Routes of administration	Inhalation use

Dosage and administration details:

Placebo capsule inhaled orally via Concept1 and Aerolizer device.

<b>Number of subjects in period 5<sup>[2]</sup></b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device
Started	9	10	10
Completed	10	10	10
Not completed	0	0	0
Lost to follow-up	-	-	-
Joined	1	0	0
Transferred in from other group/arm	1	-	-

<b>Number of subjects in period 5<sup>[2]</sup></b>	Formoterol fumarate 24 mcg via Aerolizer device	Placebo
Started	10	10
Completed	10	9
Not completed	0	1
Lost to follow-up	-	1
Joined	0	0
Transferred in from other group/arm	-	-

Notes:

[2] - The number of subjects transferring in and out of the arms in the period are not the same. It is expected the net number of transfers in and out of the arms in a period, will be zero.

Justification: Due to the cross-over design of the study, subjects were transferred from one arm of the period to another arm of the next period in a predefined treatment sequence after each period.

## Baseline characteristics

### Reporting groups

Reporting group title	Treatment Period I
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Reporting group description: -

Reporting group values	Treatment Period I	Total	
Number of subjects	50	50	
Age categorical			
Units: Subjects			
Adolescent (18-64 years)	42	42	
Adults (65-74 years)	8	8	
Age continuous			
Units: years			
arithmetic mean	50.1		
standard deviation	± 13.24	-	
Gender categorical			
Units: Subjects			
Female	24	24	
Male	26	26	

## End points

### End points reporting groups

Reporting group title	Formoterol fumarate 12 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device.	
Reporting group title	Formoterol fumarate 24 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 24 mcg capsule orally via Concept1 inhaler device.	
Reporting group title	Formoterol fumarate 12 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device.	
Reporting group title	Formoterol fumarate 24 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device.	
Reporting group title	Placebo
Reporting group description: Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device.	
Reporting group title	Formoterol fumarate 12 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 24 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 24 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 12 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 24 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Placebo
Reporting group description: Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 12 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 24 mcg via Concept1 device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 12 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	
Reporting group title	Formoterol fumarate 24 mcg via Aerolizer device
Reporting group description: Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.	

days was maintained between two treatment periods.

Reporting group title	Placebo
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Reporting group description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 12 mcg via Concept1 device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 24 mcg via Concept1 device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 12 mcg via Aerolizer device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 24 mcg via Aerolizer device
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Reporting group description:

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Placebo
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Reporting group description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 12 mcg via Concept1 device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 24 mcg via Concept1 device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 12 mcg via Aerolizer device
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Formoterol fumarate 24 mcg via Aerolizer device
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Reporting group description:

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device. A wash-out period of 3 days was maintained between two treatment periods.

Reporting group title	Placebo
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Reporting group description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device. A wash-out period of 3 days was maintained between two treatment periods.

Subject analysis set title	Formoterol fumarate 12 mcg via Concept1 device
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Subject analysis set type	Intention-to-treat
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Subject analysis set description:

All subjects who received formoterol 12 mcg capsule orally via Concept1 inhaler device in this cross-over study.

Subject analysis set title	Formoterol fumarate 24 mcg via Concept1 device
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Subject analysis set type	Intention-to-treat
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Subject analysis set description:

All subjects who received formoterol 24 mcg capsule orally via Concept1 inhaler device in this cross-over study.

Subject analysis set title	Formoterol fumarate 12 mcg via Aerolizer device
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Subject analysis set type	Intention-to-treat
Subject analysis set description: All subjects who received formoterol 12 mcg capsule orally via Aerolizer inhaler device in this cross-over study.	
Subject analysis set title	Formoterol fumarate 24 mcg via Aerolizer device
Subject analysis set type	Intention-to-treat
Subject analysis set description: All subjects who received formoterol 24 mcg capsule orally via Aerolizer inhaler device in this cross-over study.	
Subject analysis set title	Placebo
Subject analysis set type	Intention-to-treat
Subject analysis set description: All subjects who received placebo matching to formoterol capsule orally via Concept1 and Aerolizer inhaler device in this cross-over study.	

### Primary: Area under curve of forced expiratory volume FEV1-AUC(0-12) at 12 hours

End point title	Area under curve of forced expiratory volume FEV1-AUC(0-12) at 12 hours <sup>[1]</sup>
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End point description:

FEV1 was defined as the amount of air which can be forcibly exhaled from the lungs in the first second of a forced exhalation, measured using spirometry tests. The FEV1 standardized area under the curve (AUC0-12) was defined as area under the serum concentration-time curve from time 0 to time of the last time point with measurable concentration (0 to 12 hours). AUC of FEV1 followed by single dose of study medication was standardized with respect to length of time completed in the observation period. The primary analysis was performed in Intent-to-treat (ITT) population, defined as all randomized subjects who received at least one treatment. Due to cross-over design of the study, only subjects who received at least two treatments contributed to the primary analysis.

End point type	Primary
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End point timeframe:

12 hours

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: The statistical analysis for the primary end point has been provided in the attachment.

End point values	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device	Formoterol fumarate 24 mcg via Aerolizer device
Subject group type	Subject analysis set	Subject analysis set	Subject analysis set	Subject analysis set
Number of subjects analysed	49	50	50	49
Units: Litre(s)				
least squares mean (standard error)	2.71 (± 0.019)	2.73 (± 0.019)	2.67 (± 0.019)	2.73 (± 0.019)

End point values	Placebo			
Subject group type	Subject analysis set			
Number of subjects analysed	49			
Units: Litre(s)				
least squares mean (standard error)	2.41 (± 0.019)			

<b>Attachments (see zip file)</b>	FEV1-AUC(0-12) for Formoterol_Statistical analysis/FEV1-AUC
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## Statistical analyses

No statistical analyses for this end point

### Secondary: Area under curve of forced expiratory volume (FEV1-AUC) serial measurement

End point title	Area under curve of forced expiratory volume (FEV1-AUC) serial measurement
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End point description:

FEV1 was defined as the amount of air which can be forcibly exhaled from the lungs in the first second of a forced exhalation, measured using spirometry tests. The FEV1-AUC was defined as area under the serum concentration-time curve from time 0 to time of the last time point with measurable concentration. AUC of FEV1 followed by single dose of study medication was standardized with respect to length of time completed in the observation period. The primary analysis was performed in ITT population. Due to cross-over design of the study, only subjects who received at least two treatments contributed to the primary analysis.

End point type	Secondary
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End point timeframe:

Pre-dose, 15, 30 minutes, 1, 2, 3, 4, 6, 8, 10, 11 and 12 hours post dosing

<b>End point values</b>	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device	Formoterol fumarate 24 mcg via Aerolizer device
Subject group type	Subject analysis set	Subject analysis set	Subject analysis set	Subject analysis set
Number of subjects analysed	49	50	50	49
Units: Litre(s)				
arithmetic mean (standard deviation)				
15 min	2.7 (± 0.753)	2.74 (± 0.722)	2.63 (± 0.706)	2.69 (± 0.759)
30 min	2.72 (± 0.778)	2.78 (± 0.756)	2.66 (± 0.72)	2.71 (± 0.774)
1 hour	2.76 (± 0.787)	2.81 (± 0.76)	2.67 (± 0.727)	2.76 (± 0.802)
2 hours	2.79 (± 0.775)	2.82 (± 0.777)	2.73 (± 0.748)	2.78 (± 0.808)
3 hours	2.8 (± 0.802)	2.83 (± 0.784)	2.71 (± 0.75)	2.79 (± 0.799)
4 hours	2.78 (± 0.794)	2.82 (± 0.787)	2.72 (± 0.748)	2.77 (± 0.811)
6 hours	2.73 (± 0.806)	2.76 (± 0.793)	2.64 (± 0.776)	2.73 (± 0.801)
8 hours	2.65 (± 0.829)	2.7 (± 0.77)	2.6 (± 0.753)	2.71 (± 0.798)
10 hours	2.62 (± 0.842)	2.65 (± 0.819)	2.57 (± 0.789)	2.64 (± 0.809)
11 hours	2.62 (± 0.816)	2.64 (± 0.792)	2.56 (± 0.79)	2.61 (± 0.814)
12 hours	2.59 (± 0.846)	2.61 (± 0.772)	2.55 (± 0.785)	2.59 (± 0.825)

<b>End point values</b>	Placebo			
Subject group type	Subject analysis set			
Number of subjects analysed	49			
Units: Litre(s)				
arithmetic mean (standard deviation)				
15 min	2.36 (± 0.736)			

30 min	2.37 ( $\pm$ 0.742)			
1 hour	2.38 ( $\pm$ 0.751)			
2 hours	2.42 ( $\pm$ 0.766)			
3 hours	2.43 ( $\pm$ 0.788)			
4 hours	2.43 ( $\pm$ 0.783)			
6 hours	2.42 ( $\pm$ 0.795)			
8 hours	2.4 ( $\pm$ 0.788)			
10 hours	2.39 ( $\pm$ 0.781)			
11 hours	2.37 ( $\pm$ 0.787)			
12 hours	2.37 ( $\pm$ 0.787)			

## Statistical analyses

No statistical analyses for this end point

### Secondary: Urinary excretion of formoterol from 0 to 12 hours (Ae0-12)

End point title	Urinary excretion of formoterol from 0 to 12 hours (Ae0-12)
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End point description:

Urinary excretion of formoterol from 0 to 12 hours (Ae0-12) was defined as amount of unchanged formoterol excreted in urine within the 0 to 12 h post-dose collection interval. Ae0-12 was calculated from the formoterol concentrations in urine and the urine volumes using non-compartmental method(s) with WinNonlin Pro (version 5.01). Ae0-12 is reported in nanomoles (nmol) of formoterol free base. Formoterol was measured in urine using Liquid Chromatography–Mass Spectrometry (LC-MS)/MS method. The limit of quantification for urine formoterol was 0.0174 nmol/L. The analysis was performed in the PK population, defined as all completed subjects with quantifiable PK measurements.

End point type	Secondary
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End point timeframe:

Pre-dose, 15, 30 minutes, 1, 2, 3, 4, 6, 8, 10, 11 and 12 hours post dosing

End point values	Formoterol fumarate 12 mcg via Concept1 device	Formoterol fumarate 24 mcg via Concept1 device	Formoterol fumarate 12 mcg via Aerolizer device	Formoterol fumarate 24 mcg via Aerolizer device
Subject group type	Subject analysis set	Subject analysis set	Subject analysis set	Subject analysis set
Number of subjects analysed	45	46	41	46
Units: nanomole(s)				
arithmetic mean (standard deviation)	1.22 ( $\pm$ 0.42)	2.46 ( $\pm$ 0.805)	1.3 ( $\pm$ 0.505)	2.25 ( $\pm$ 0.77)

## Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Serious Adverse Events are monitored from date of First Subject First Visit (FSFV) until Last Subject Last Visit (LSLV). All other adverse events are monitored from First Subject First Treatment until Last Subject Last Visit.

Adverse event reporting additional description:

Consistent with EudraCT disclosure specifications, Novartis has reported under the Serious adverse events field "number of deaths resulting from adverse events" all those deaths, resulting from serious adverse events that are deemed to be causally related to treatment by the investigator.

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
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Dictionary version	10.0
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### Reporting groups

Reporting group title	Formoterol Concept1 12 mcg
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Concept1 inhaler device.

Reporting group title	Formoterol Aerolizer 24 mcg
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Reporting group description:

Subjects inhaled formoterol 24 mcg capsule orally via Aerolizer inhaler device.

Reporting group title	Placebo
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Reporting group description:

Subjects inhaled placebo matching to formoterol capsule orally via Concept1 and Aerolizer device.

Reporting group title	Formoterol Concept1 24 mcg
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Reporting group description:

Subjects inhaled formoterol 24 mcg capsule orally via Concept1 inhaler device.

Reporting group title	Formoterol Aerolizer 12 mcg
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Reporting group description:

Subjects inhaled formoterol 12 mcg capsule orally via Aerolizer inhaler device.

Serious adverse events	Formoterol Concept1 12 mcg	Formoterol Aerolizer 24 mcg	Placebo
Total subjects affected by serious adverse events			
subjects affected / exposed	0 / 49 (0.00%)	0 / 49 (0.00%)	0 / 49 (0.00%)
number of deaths (all causes)	0	0	0
number of deaths resulting from adverse events	0	0	0

Serious adverse events	Formoterol Concept1 24 mcg	Formoterol Aerolizer 12 mcg	
Total subjects affected by serious adverse events			
subjects affected / exposed	0 / 50 (0.00%)	0 / 50 (0.00%)	
number of deaths (all causes)	0	0	
number of deaths resulting from adverse events	0	0	

Frequency threshold for reporting non-serious adverse events: 2 %

<b>Non-serious adverse events</b>	Formoterol Concept1 12 mcg	Formoterol Aerolizer 24 mcg	Placebo
Total subjects affected by non-serious adverse events subjects affected / exposed	2 / 49 (4.08%)	5 / 49 (10.20%)	2 / 49 (4.08%)
Nervous system disorders Headache subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	2 / 49 (4.08%) 2	2 / 49 (4.08%) 2
Tremor subjects affected / exposed occurrences (all)	1 / 49 (2.04%) 1	2 / 49 (4.08%) 2	0 / 49 (0.00%) 0
General disorders and administration site conditions Influenza like illness subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	1 / 49 (2.04%) 1	0 / 49 (0.00%) 0
Psychiatric disorders Restlessness subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 49 (0.00%) 0	0 / 49 (0.00%) 0
Infections and infestations Nasopharyngitis subjects affected / exposed occurrences (all)	0 / 49 (0.00%) 0	0 / 49 (0.00%) 0	0 / 49 (0.00%) 0

<b>Non-serious adverse events</b>	Formoterol Concept1 24 mcg	Formoterol Aerolizer 12 mcg	
Total subjects affected by non-serious adverse events subjects affected / exposed	3 / 50 (6.00%)	3 / 50 (6.00%)	
Nervous system disorders Headache subjects affected / exposed occurrences (all)	1 / 50 (2.00%) 1	1 / 50 (2.00%) 1	
Tremor			

subjects affected / exposed occurrences (all)	2 / 50 (4.00%) 2	1 / 50 (2.00%) 1	
General disorders and administration site conditions Influenza like illness subjects affected / exposed occurrences (all)	0 / 50 (0.00%) 0	0 / 50 (0.00%) 0	
Psychiatric disorders Restlessness subjects affected / exposed occurrences (all)	2 / 50 (4.00%) 2	0 / 50 (0.00%) 0	
Infections and infestations Nasopharyngitis subjects affected / exposed occurrences (all)	0 / 50 (0.00%) 0	1 / 50 (2.00%) 1	

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
16 June 2006	Adolescent subjects were excluded from the study.
19 December 2006	PK sampling and analysis was included to the protocol as requested by the Food and Drug Administration (FDA). The exclusion criteria was updated to exclude severe asthmatic subjects as per recommendation from German Ethics Committee.
24 January 2007	Updated urine collection procedures.

Notes:

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### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

Due to EudraCT system limitations, which EMA is aware of, data using 999 as data points in this record are not an accurate representation of the clinical trial results. Please use <https://www.novctrd.com/CtrdWeb/home.nov> for complete trial results.

Notes: